

# Release Of Personal Health Information Under The Paid Family Leave Law (Form PFL-3) Instructions

- If an employee is requesting PFL to care for a family member with a serious health condition, the care recipient or an authorized representative must complete a *Release Of Personal Health Information Under The Paid Family Leave Law (Form PFL-3)* and submit it to their health care provider, along with a copy of the *Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)*.
- The *Release Of Personal Health Information Under The Paid Family Leave Law (Form PFL-3)* enables the health care provider to complete *Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)* and release it to the employee seeking PFL benefits.
- Before completing and signing, the care recipient must read the *Release Of Personal Health Information Under The Paid Family Leave Law (Form PFL-3)* in its entirety.
- The employee requesting PFL submits both the *Request For Paid Family Leave (Form PFL-1)* and the *Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)* to their employer's PFL insurance carrier, or to their employer if the employer is self-insured, for PFL benefit determination.

**NOTE:** This form will be retained by the health care provider. The employee should make a copy for their records before giving it to the health care provider.

**Care recipient or authorized representative signs and dates.**

**This form is given to the care recipient's health care provider along with the  
*Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)*.**

## **RELEASE OF PERSONAL HEALTH INFORMATION BY THE HEALTH CARE PROVIDER FOR A FAMILY MEMBER WITH A SERIOUS HEALTH CONDITION** (to be completed by the care recipient or authorized representative and submitted to care recipient's health care provider with Form PFL-4)

Employee enters their name, and care recipient's (patient's) name and date of birth at the top of each page.

The PFL insurance carrier name requested at the top of the form is the same as the PFL insurance carrier identified in *Request For Paid Family Leave (Form PFL -1)* Part B line 13.

**Care recipient or authorized representative must complete all applicable requested information.**

If a care recipient is unable to fill out this form, an authorized representative must attach a copy of legal documentation, such as a health care proxy or power of attorney, permitting the representative to sign on behalf of the care recipient. The health care provider will require this documentation of authorization unless the authorized representative is a parent signing on behalf of a minor child.

**Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).**

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



**TO BE COMPLETED BY THE EMPLOYEE**

**Employee's name** (first name, middle initial, last name)

**Care recipient's (patient's) name** (first name, middle initial, last name)

**Care recipient's (patient's) date of birth** (MM/DD/YYYY)

□□□□ / □□□□ / □□□□□□

**RELEASE OF PERSONAL HEALTH INFORMATION BY THE HEALTH CARE PROVIDER FOR A FAMILY MEMBER WITH A SERIOUS HEALTH CONDITION** (to be completed by the care recipient or authorized representative and submitted to care recipient's health care provider with Form PFL-4)

I, , **authorize my health care provider listed on this form to**  
**release my personal health information to**  **and their**  
**employer's PFL insurance carrier** .

**Records Subject to Release:** This form gives the health care provider listed permission to include information from your health care records on the attached medical certification. This form gives your health care provider permission to release only the information in your health care records that relate to your current condition, which is the subject of the employee's request for Paid Family Leave benefits.

**Duration of Revocable Release:** This authorization ends after one year, or when you revoke the release. You can cancel this release at any time. To cancel, send a letter to the health care provider listed on this form.

This form does NOT allow your health care provider to release the following types of information, unless you specifically permit such release. Put an "X" next to any information your health provider MAY release:

- HIV/AIDS related information
- Mental health information
- Alcohol/drug treatment
- Psychotherapy notes

**Health Care Provider Information** (to be completed by the care recipient or authorized representative)

Identify the health care provider who is currently providing you with treatment for a condition that is subject to the employee's request for PFL benefits.

**1. Health care provider's name**

\_\_\_\_\_

**2. Health care provider's mailing address**

**3. Health care provider's telephone number** (provide area or country code)

\_\_\_\_\_

*Form PFL-3 continued on next page*



**TO BE COMPLETED BY THE EMPLOYEE**

**Employee's name** (first name, middle initial, last name)

**Care recipient's (patient's) name** (first name, middle initial, last name)

**Care recipient's (patient's) date of birth** (MM/DD/YYYY)

□□ / □□ / □□□□

**RELEASE OF PERSONAL HEALTH INFORMATION BY THE HEALTH CARE PROVIDER FOR A FAMILY MEMBER WITH A SERIOUS HEALTH CONDITION** (to be completed by the care recipient or authorized representative and submitted to care recipient's health care provider with Form PFL-4) - continued from prior page

*Form PFL-3 continued from prior page*

**Care Recipient Information** (to be completed by the care recipient or authorized representative)

**4. Care recipient's mailing address**

Mailing address

City, State

Zip code

Country (if not U.S.A.)

**5. Care recipient's Social Security Number** □□□□ - □□ - □□□□

**6. Care recipient's telephone number** (provide area or country code)

**READ AND SIGN BELOW**

I hereby request that the health care provider listed give a completed *Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)* to the employee identified on the PFL-4 form. I understand that such information includes a diagnosis and prognosis of my current condition, the date it commenced, and any estimation of the amount of care that I require from the employee requesting PFL benefits as a result of my current condition.

Care recipient's signature

Date signed (MM/DD/YYYY)

□□ / □□ / □□□□

**Authorized representative**

Print name

I, \_\_\_\_\_, represent the care recipient in this matter as authorized by:

Parental right    Power of attorney (attach copy)    Court order (attach copy)    Health care proxy (attach copy)

Authorized representative's signature

Date signed (MM/DD/YYYY)

□□ / □□ / □□□□

**The employee should retain a copy for their own records.**

# Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4) Instructions

The employee requesting PFL to care for a family member with a serious health condition must submit the *Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)* with the *Request For Paid Family Leave (Form PFL-1)*.

## Employee:

- Employee enters their name, date of birth, other last names, if any, under which they have worked, Social Security or Taxpayer Identification Number (TIN) number, mailing address, and care recipient's (patient's) name and date of birth at the top of page 1.
- Employee enters their name and date of birth, and care recipient's (patient's) name and date of birth at the top of page 2.
- Employee gives the *Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)* to the health care provider.

## HEALTH CARE PROVIDER CERTIFICATION FOR CARE OF FAMILY MEMBER WITH SERIOUS HEALTH CONDITION (to be completed by the health care provider for the care recipient (patient) and returned to the employee identified above)

**The patient's health care provider must complete all applicable requested information unless noted as optional.**

**Patient Information / family member with serious health condition** (to be completed by the health care provider for the care recipient (patient) and returned to the employee identified above)

**Question 2:** Providing the optional ICD-10 code is recommended.

The patient's health care provider must complete the Patient Information and Health Care Provider sections of the *Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)*.

**Health care provider signs and dates, and then returns the form to the employee requesting PFL.**

**If you believe the patient is the victim of abuse or neglect caused by the employee requesting PFL, you may decline to provide this certification.**

## Employee:

- When you receive the completed *Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)* form from the health care provider, send the completed forms and supporting documentation to the insurance carrier.

## Notification Pursuant to the New York Personal Privacy Protection Law (Public Officers Law Article 6-A) and the Federal Privacy Act of 1974 (5 USC 552a).

The Workers' Compensation Board's (Board's) authority to request that employees provide personal information, including their social security number or tax identification number, is derived from the Board's administrative authority under Workers' Compensation Law section 142. This information is collected to assist the Board in investigating and administering claims in the most expedient manner possible and to help it maintain accurate records. Providing your social security number or tax identification number to the Board is voluntary. The Board will protect the confidentiality of all personal information in its possession, disclosing it only in furtherance of its official duties and in accordance with applicable state and federal law.



# Paid Family Leave

## Request For Paid Family Leave Health Care Provider Certification For Care Of Family Member With Serious Health Condition (Form PFL-4)

INSTRUCTIONS INCLUDED WITH FORM

### TO BE COMPLETED BY THE EMPLOYEE

Employee's name (first name, middle initial, last name)

Employee's date of birth (MM/DD/YYYY)

□□ / □□ / □□□□

Other last names, if any, under which employee has worked

Employee's Social Security Number or TIN

□□□□ - □□ - □□□□

Employee's mailing address

Mailing address

City, State

Zip code

Country (if not U.S.A.)

Care recipient's (patient's) name (first name, middle initial, last name)

Care recipient's (patient's) date of birth (MM/DD/YYYY)

□□ / □□ / □□□□

### HEALTH CARE PROVIDER CERTIFICATION FOR CARE OF FAMILY MEMBER WITH SERIOUS HEALTH CONDITION

(to be completed by the health care provider for the care recipient (patient) and returned to the employee identified above)

#### Patient Information / family member with serious health condition (to be completed by the health care provider for the care recipient (patient) and returned to the employee identified above)

1. Does patient require care by the employee requesting Paid Family Leave (PFL)?

Yes  No (If no, skip to "Health Care Provider Information".)

Note: For the purposes of this section, "providing care" may include necessary physical care, emotional support, visitation, assistance in treatment, transportation, arranging for a change in care, assistance with essential daily living matters, and personal attendant services.

2. Primary ICD-10 code (optional) □□□□□□□□

3. Diagnosis

\_\_\_\_\_

4. Date patient's condition commenced (MM/DD/YYYY) □□ / □□ / □□□□

5. First date care for patient is needed (MM/DD/YYYY) □□ / □□ / □□□□

6. Expected date patient will no longer require care (MM/DD/YYYY) □□ / □□ / □□□□

7. Estimated number of days per week OR days per month patient requires care  Days/week OR  Days/month

#### Health Care Provider Information (to be completed by the health care provider for the care recipient (patient) and returned to the employee identified above)

8. Health care provider's name

\_\_\_\_\_

Form PFL-4 continued from prior page



**TO BE COMPLETED BY THE EMPLOYEE**

**Employee's name** (first name, middle initial, last name)

**Employee's date of birth** (MM/DD/YYYY)

/  /

**Care recipient's (patient's) name** (first name, middle initial, last name)

**Care recipient's (patient's) date of birth** (MM/DD/YYYY)

/  /

**HEALTH CARE PROVIDER CERTIFICATION FOR CARE OF FAMILY MEMBER WITH SERIOUS HEALTH CONDITION**

(to be completed by the health care provider for the care recipient (patient) and returned to the employee identified above)  
- continued from prior page

*Form PFL-4 continued from prior page*

**9. Type of health care provider:**

Medical Doctor (MD)

Dentist (DDS/DDM)

Licensed Social Worker (LMSW/LCSW)

Doctor of Osteopathy (DO)

Physician's Assistant (PA)

Other (specify)

Doctor of Podiatric Medicine (DPM)

Nurse Practitioner (NP)

Doctor of Chiropractic Medicine (DC)

Licensed Psychologist

**10. Health care provider's mailing address**

Mailing address

City, State

Zip code

Country (if not U.S.A.)

**11. Health care provider's telephone number** (provide area or country code)

**12. Health care provider's fax number** (provide area or country code)

**13. Health care provider's email address** (if available)

**14. State or country (if not U.S.A.) in which health care provider is licensed to practice**

**15. Specialty**

**16. Health care provider's license number**

**Certification and signature**

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

My signature attests that the information I have provided in this form is based on my professional assessment within my licensed scope of practice.

Health care provider's signature

Date signed (MM/DD/YYYY)

/  /